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11 **ESTIMATING THE ZONE OF INFLUENCE OF INDUSTRIAL  
12 DEVELOPMENTS ON WILDLIFE: A MIGRATORY CARIBOU AND  
13 DIAMOND MINE CASE STUDY**

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25  
26 *Abstract.* Wildlife species potentially respond to industrial development with  
27 changes in distribution, however, discerning a response to development from differences in

28 habitat selection is challenging, and often differences in methodologies make comparison  
29 of studies problematic. Since the early 1990's, the summer range of the migratory tundra  
30 Bathurst caribou (*R. tarandus groenlandicus*) herd in the Canadian Arctic were exposed to  
31 the construction of three diamond mines. We used an innovative statistical approach to  
32 directly estimate the zone of influence (area of reduced caribou occupancy) of the mines  
33 during mid-July to mid-October. We used data from aerial surveys, and locations of  
34 satellite collared cow caribou as inputs to a model to account for patterns in habitat  
35 selection. We then constrained the zone of influence curve to asymptote, such that the  
36 average distance from the mine complex where caribou habitat selection was not affected  
37 by the mine could be estimated. Around the Ekati-Diavik mine complex during the  
38 operation period for both mines we detected a 14 km zone of influence from the aerial  
39 survey data, and a weaker 11 km zone from the satellite-collar locations. Caribou were  
40 about four times more likely to select habitat at greater distances from the mine complex  
41 than within the zone of influence. The implications are that caribou are responding to  
42 industrial developments at greater distances than shown in other areas, possibly related to  
43 dust deposition from mines. The methodology we developed provides a standardized  
44 approach to estimate the spatial impact of stressors on caribou or other wildlife species.

45 *Key words: Arctic, barren-ground caribou, diamond mining, industrial disturbance,*  
46 *Rangifer tarandus groenlandicus, resource selection functions, likelihood, zone of influence.*

47

47 **1 Introduction**

48 The impact of industrial development on wildlife is a frequent and worldwide concern,  
49 and this is especially true for long-distant migrants whose traditional routes can be  
50 threatened by industrial developments (Berger, 2004). Of particular interest is the relative  
51 spatial displacement of wildlife caused by a response to human activities. Many methods  
52 have been used to measure displacement, but often comparing findings is complicated by  
53 different methodologies and scales of disturbance considered (Starikowich, 2008).  
54 Differences in results from analyses of the same data sets can trigger controversy (Noel et  
55 al. 2004, Joly et al. 2006), which detracts from effective conservation and mitigation  
56 measures for species that are potentially impacted by industrial development.

57 We became interested in measuring potential displacement of migratory tundra  
58 caribou when investigating the impact of mine development on the Bathurst caribou herd  
59 on the central Canadian tundra (Northwest Territories). Migratory tundra caribou are a  
60 gregarious and migratory ungulate with ecological similarities to other open habitat,  
61 gregarious ungulates in Africa and Asia that face industrial developments on their ranges  
62 (e.g., Mongolian gazelles [*Procapra gutturosa*]; Ito et al., 2004).

63 The Bathurst caribou herd has declined since 1996 at an average annual rate of 5%  
64 (Nishi et al., 2008) and therefore assessment of cumulative effects of industry, harvest, and  
65 other stressors is of immediate concern. From the mid-1990s onward, Bathurst caribou have  
66 been exposed to a boom in mining exploration, which culminated in the construction of two  
67 open-pit and one underground diamond mine within the Northwest Territories. During  
68 environmental assessment hearings for the diamond mines and subsequent public meetings,  
69 strong concerns were expressed about how the mines would affect caribou movements and

70 distribution, and the overall health of the herds (Boulanger et al., 2004; Johnson et al.,  
71 2005).

72 The distance where caribou change their behavior, habitat selection, and distribution  
73 relative to disturbance, which we term “zone of influence”, has implications for measuring  
74 the cumulative effects of various stressors on caribou populations, especially where there  
75 are multiple mines and associated exploration activities (Duinker and Greig, 2006).

76 Previous estimates of the zone of influence were mainly based on frequencies of caribou  
77 relative to distance from disturbance (e.g., Nelleman et al., 2000; Mahoney and Schaefer,  
78 2002; Joly et al., 2006) or polynomial-based estimates (Boulanger et al., 2004; Johnson et  
79 al., 2005; Golder Associates Ltd., 2008a, 2008b). Each of these approaches has limitations.

80 The frequency approach does not necessarily account for habitat factors that might  
81 influence distribution, and can be influenced by the choice of frequency classes.

82 Polynomial-based methods, which fit a curvilinear curve to observed caribou selection or  
83 occurrence, do account for differences in habitat selection, but the polynomial curves only  
84 approximate the hypothesized asymptote in habitat selection caused by reduced caribou  
85 occurrence. For example, it would be expected that caribou selection should increase with  
86 distance from mine then asymptote where the mine has no impact. Polynomial methods  
87 allow selection to change non-linearly with distance but do not exactly asymptote, and  
88 often zone of influence is measured as the peak of a quadratic or cubic curve. Estimates of  
89 displacement for the Bathurst caribou herd using satellite collar and aerial survey data using  
90 polynomial-based methods ranged from 17 km (Boulanger et al., 2004; Golder Associates  
91 Ltd., 2008a, 2008b) to 130 km (Johnson et al., 2005).

92           We suspected that the large difference in zone of influence reported by studies was  
93    due to the effect of scale (ranges of distances considered in the analysis), and uncertainty in  
94    the exact distance due to the curvilinear nature of polynomial curves. We therefore  
95    developed a likelihood-based approach that fit the hypothesized asymptotic relationship,  
96    therefore estimating the exact distance at which mines affected caribou distribution while  
97    accounting for variation caused by differential habitat selection within the vicinity of  
98    mines. We also explored a possible mechanism for the zone of influence by considering the  
99    effects of dust deposition from mine activities on caribou distribution.

100           We note that this general methodology is applicable to the measurement of response  
101    to disturbance of any wildlife species given that it is based upon general habitat selection  
102    methods and likelihood based analysis models. We suggest that our methodology may help  
103    conservation measures by allowing a standardized zone of influence shape to be fit,  
104    therefore making results among different studies more equitable.

## 105    **2 Materials and methods**

### 106    **2.1 Study area**

107           The study was centered on the tundra of the central Arctic ( $\sim 64^{\circ}30' \text{ N}, 110^{\circ}30' \text{ W}$ ),  
108    approximately 300 km northeast of Yellowknife, Northwest Territories, Canada (Fig. 1).  
109    The area occupied by the caribou 15 July–15 October is about 100,000 km<sup>2</sup>, with a high use  
110    area (70% kernel) of about 53,000 km<sup>2</sup>, and a core (50% kernel) of about 33,000 km<sup>2</sup>. The  
111    study area is within the Southern Arctic ecozone, an area of continuous permafrost  
112    (Ecological Stratification Working Group, 1996). Glaciers have largely shaped the  
113    landscape, which has esker complexes, boulder moraines, raised ridges of ancient beaches,  
114    and numerous lakes. Riverine habitats and seepage areas are the most productive habitats.  
115    Shrub communities of willow (*Salix* spp.), shrub birch (*Betula* spp.), and Labrador tea

116 (*Ledum decumbens*) dominate areas with adequate soil development. Mats of lichens,  
117 mosses, and low shrubs are found across exposed rocky and gravel sites. The climate is  
118 semi-arid with annual precipitation of approximately 300 mm. Summers are short and cool  
119 with average temperatures of ~12°C whereas winter temperatures are commonly <-30°C  
120 (BHP Diamonds, 1995).

121 The Bathurst herd of migratory tundra caribou annually moves hundreds of  
122 kilometers from wintering ranges below treeline, to calving and summer range on the open  
123 tundra (Gunn et al., 2001). Between 1996 and 2006, the herd declined from an estimated  
124 349,000 ( $\pm$  95,000 [SE]) to 128,000 ( $\pm$  27,300) caribou (Nishi et al., 2008). The seasonal  
125 migrations of the Bathurst herd annually varies (Gunn et al., 2001), which causes the  
126 number of caribou in the vicinity of the mines to fluctuate. The northward spring migration  
127 to the calving grounds is usually rapid. During post-calving and summer, caribou either  
128 move rapidly in response to parasitic insect harassment (Russell et al., 1993) or movements  
129 are less while caribou feed. Movements away from the vicinity of the mines occur after the  
130 fall rut, and by October, few caribou generally occur in the area. It is during the post-  
131 calving through summer seasons that the potential influence of the mines is expected to be  
132 the greatest. We have therefore restricted our analyses to 15 July to 15 October (hereafter  
133 termed the summer season).

134 We analyzed caribou distribution relative to three existing diamond mines within  
135 the Northwest Territories: Ekati (BHP Billiton Diamonds Inc.), Diavik (Diavik Diamond  
136 Mines Inc.), and Snap Lake (De Beers Canada; Fig. 1). The main Ekati mine and Diavik are  
137 30 km apart. Both mines are open pit mines with accommodation complexes and ore-  
138 processing buildings (the mines are fly-in operations). Ekati has a separate camp and open

139 pit (Misery) which is connected by a 29 km all-weather road to the main Ekati site. The  
140 Misery camp and pit are 7 km from the Diavik mine, which is restricted to an island in Lac  
141 de Gras. Snap Lake mine is a more recent and an underground mine (Table 1) 105 km south  
142 of Diavik. Because of the juxtaposition of the Ekati and Diavik operations, we modeled  
143 these mines as a combined unit. Analysis of mines separately resulted in zone of influences  
144 that overlapped the two areas suggesting that the zone of influences of the two mines were  
145 confounded (J. Boulanger, unpubl. data).

146 The scale of our analyses was based on satellite collar data (ENR, unpubl. data).

147 Most caribou cows occur within 100–150 km of the Ekati and Diavik mines near Lac de  
148 Gras during this period, while caribou distribution are generally not distributed more than  
149 40 km south of Snap Lake (Fig. 1).

## 150 2.2 *Caribou data sources*

151 The first source of location data was from weekly aerial surveys using systematically  
152 spaced strip transects from Ekati (1998–2008), Diavik (2002–2008), and Snap Lake (1999–  
153 2008) (Table 2). Transect route, spacing and width, study area size, and frequency of data  
154 collection varied within and among mines, but mostly was a systematic (4- or 8-km  
155 spacing) coverage of 15–30 km radius study areas out from mine sites, flown by helicopter  
156 at 150 m altitude and 145–160 kph. Transect width was 600 m on both sides of the aircraft.  
157 Number of aerial surveys with caribou present varied annually and among mines (Table 2).  
158 For analysis we considered surveys where >1 cell had caribou present (>0.2% relative  
159 occupancy per survey), resulting in 168 useable aerial surveys flown between 1998 and  
160 2008. For these surveys the mean relative occupancy (number of cells where caribou were  
161 detected/number of cells surveyed) was 5.1% (SD = 6.4%, range 0.3–41.0%).

162 The second source of caribou locations was from satellite transmitters attached to  
163 collars fitted to adult cow caribou tracked from April 1996 to October 2008 (Gunn et al.,  
164 2001; Environment and Natural Resources, unpublished data). The number of collared  
165 caribou available annually for analysis (that potentially encountered the mine sites [see  
166 *Treatment of satellite collar data*, below]) ranged from 4 to 19 (Table 2). The satellite  
167 collars varied from transmitting every 7 days beginning in 1996, to every 5 days beginning  
168 in 1998, with the addition of daily duty cycle for mid-July to mid-August beginning in  
169 2002. We used 3,705 point locations during our period of interest (57.1% daily, 36.9% 5-  
170 day, and 6.0% 7-day) from an annual average of 11.5 ( $\pm 1.25$ ) individual cows.

171 2.3 *Habitat classes*

172 To provide seamless coverage of habitat classes over our study area we used the Land  
173 Cover Map of Northern Canada (NLC; Olthof et al., 2008), and Earth Observation for  
174 Sustainable Development of Forests (EOSD; <http://cfs.nrcan.gc.ca/subsite/eosd/mapping>)  
175 land cover classification. Esker coverage was extracted from 1:250,000 scale National  
176 Topographic Data Base maps (Natural Resources Canada;  
177 <http://geogratis.cgdi.gc.ca/geogratis/en/product/search.do?id=8147>). We used 12 habitat  
178 classes pooled between the NLC, EOSD and eskers coverages. We converted linear eskers  
179 into polygons with standardized width of 100 m. (Descriptions of the habitat classes are in  
180 Appendix A.)

181 2.4 *Plant productivity*

182 Plant phenology and productivity annually vary which could influence caribou use of  
183 habitats and movement patterns (Russell et al., 1993). We used Normalized Difference  
184 Vegetation Index (NDVI) imagery to track plant phenology and productivity within the  
185 study area. NDVI is related to the proportion of photosynthetically absorbed radiation, and

186 is calculated from atmospherically corrected reflectance from the visible and near infrared  
187 channels from Advanced Very High Resolution Radiometer (AVHRR) flown on NOAA-  
188 series satellites. We used 1-km resolution NDVI amalgamated by 10-day composite periods  
189 for 1996 to 2006 (Latifovic et al. 2005), and calculated the mean values for each 1 x 1 km  
190 cell within the study area.

191 *2.5 Dustfall*

192 Caribou respond to and avoid vehicle and aircraft traffic, and the presence of people,  
193 machinery and buildings – a generalized response to predators (Frid and Dill, 2002).  
194 Additionally, aboriginal elders have repeatedly identified dustfall from mine activities as a  
195 concern for caribou through deposition on forage plants (Independent Environmental  
196 Monitoring Agency 2006–07 annual report, Yellowknife, NWT). Most of the larger dust  
197 particles are deposited within 100s of meters from the sources and affect vegetation  
198 composition (Myers-Smith et al., 2006). However, CALPUFF dispersion modeling in the  
199 Ekati and Diavik areas predict that smaller particles (total suspended particles [TSP] ~10  
200  $\mu\text{m}$  in size) will be deposited over a wider area and only reach background deposition rates  
201 (15 kg/ha/yr) 14–20 km from source (Rescan, 2006). Given that scale of effect, we included  
202 dustfall as a covariate in our analyses. The model generated isopleths of dust deposition,  
203 and we interpolated the grid values between successive contours (20 to 5000 kg/ha/yr). A  
204 value of 0 was assumed to occur 5 km outside of the 20 kg/ha/yr contour based on the  
205 average distance between contours 20 and 50 and adjusted for the interval increment.

206 *2.6 Treatment of aerial survey data*

207 We applied resource selection functions (Manly et al., 2002) to assess habitat and the  
208 effects of mine sites on caribou distribution from both aerial survey and satellite collar data.  
209 We treated the aerial survey observations as presence and absence of caribou rather than

210 absolute abundance to minimize the effect of contagious behavior and group size  
211 (Millspaugh et al., 1998). We compiled the observations of presence or absence into  
212 successive 1 km cells that were 1.2 km wide, and calculated the proportion of habitat  
213 classes within each cell. We determined the distance from mine site for all transect cells  
214 used in the analysis using the distance from the centroid of each transect cell to the centroid  
215 of each mine site. When outlying components of the Ekati development were added  
216 (Misery and Fox pits), the distance to the nearest development component was used.

217 A potential issue of the sequential cells was spatial autocorrelation. We used a  
218 generalized estimating equation model (GEE) (Ziegler and Ulrike, 1998) to estimate  
219 correlations between successive observations on the same transect line for the most  
220 supported base habitat model, and produce empirical robust standard error estimates. We  
221 used an exchangeable correlation matrix structure to account for spatial autocorrelation.  
222 Type 3 chi-square tests, which are less sensitive to order of parameters in models, were  
223 used to test for significance (SAS Institute, 2000). We used ROC curves to estimate the  
224 goodness of fit for how well a model predicts presence or absence through a range of  
225 probability cutpoints. A cutpoint was the probability level in which presence or absence  
226 was declared in each cell. The ROC score varies between 0.5 and 1. A score of 0.5 would  
227 correspond to a model with no predictive ability and a score of 1 would correspond to a  
228 model with perfect predictive ability. Models with scores of greater than 0.7 are  
229 considered to be of “useful” predictive ability (Boyce et al., 2002). We used SAS (SAS  
230 Institute, 2000) PROC GENMOD or PROC LOGISTIC for all analyses.

231 The abundance of caribou varied annually and seasonally, which created variation  
232 in habitat selection. We therefore used the relative abundance of caribou on the survey area,

233 as indexed by the number of cells where caribou were detected relative to the number of  
234 cells sampled, as a “nuisance” predictor variable. This essentially eliminated the influence  
235 of abundance on habitat selection.

236 The design of the aerial surveys (survey area, coverage, flight details) varied among  
237 the mines. We explored the effect of survey design by estimating the interaction of different  
238 designs (as a categorical variable) and the estimated zone of influence ( $\beta$ zoi) predictor  
239 variables.

240 *2.7 Treatment of satellite collar data*

241 We determined the proportion of habitat types in a 1 km buffer radius (the maximum  
242 error of the satellite collar locations) around collar locations. Then we compared each  
243 buffered point with the buffered area around six random points that were within a circle  
244 around the previous location of the collared caribou. The circle was the “availability radius”  
245 defined by the 95<sup>th</sup> percentile of the distanced moved for caribou for the interval between  
246 successive point locations (Arthur et al., 1996; Johnson et al., 2005). Caribou possibly  
247 select habitat at a finer scale than that reflected by the availability radius, as the radius  
248 depends on the time between successive telemetry fixes. For this reason, we considered the  
249 interaction of each habitat variable with the scale of availability. This accounted for  
250 potential scale effects and allowed all the data to be simultaneously considered in a single  
251 analysis. Locations from caribou that potentially encountered the mine sites (as indicated  
252 by the availability radius) at least once in a given year were included in the analysis.

253 We compared caribou location points (used) and random points using conditional  
254 logistic regression (Hosmer and Lemeshow, 2000). The analysis defined each used and six  
255 accompanying random points as a cluster. This cluster centered each comparison on the

256 habitat available to the caribou at the time at which the location was taken. This approach  
257 avoided issues with psuedoreplication caused by pooling telemetry data from different  
258 caribou (Pendergast et al., 1996; Johnson et al., 2005). We used k-fold cross validation to  
259 test goodness of fit of the used-random satellite collar data (Boyce et al., 2002). For this  
260 analysis, we subdivided the data into training and testing data sets based on Huberty's rule  
261 of thumb (Huberty, 1994). The goodness of fit of a model developed with the training data  
262 set was then tested with the testing data set. We estimated the Pearson correlation (Zar,  
263 1996) of successive RSF score bins with the frequency of used locations in each bin  
264 (adjusted for availability area of each bin). If the model fitted the data then the RSF bin  
265 score and area-adjusted frequencies should be positively correlated (Boyce et al., 2002).

266 *2.8 Base habitat model fitting procedure*

267 We used logistic regression for the aerial survey and satellite collar data to estimate  
268 habitat selection. The response variable was binary corresponding to use/nonuse (aerial  
269 survey) or used/random (satellite collar). Firstly, we applied univariate tests to determine  
270 the statistical significance of individual habitat predictor variables (Hosmer and Lemeshow,  
271 2000). The general form of the model was:

272       Binary response = habitat variable + habitat variable<sup>2</sup> + habitat variable\*movement  
273                   rate + habitat variable\*season + habitat variable\*mean NDVI score + buffer  
274                   scale\*habitat variable (satellite collar analysis only).

275 The quadratic term (habitat variable<sup>2</sup>) tested for situations when stronger associations with  
276 habitat values were likely to occur in the midpoint of the habitat variable value as opposed  
277 to a linear relationship. The interaction between movement rate and habitat variables was  
278 tested for cases when a habitat was used transitionally as indicated by a significant  
279 relationship between movement rate and the given habitat variable. We used the

280 interactions among seasons (early summer, late summer, fall, and rut/late fall) and NDVI to  
281 test for seasonal selection of habitats. We also tested the satellite collar data for interactions  
282 between availability radius (duration between fixes [duty cycle] which determined the size  
283 of the buffer where available locations were placed) and habitat variables as discussed  
284 previously. Habitat variables were standardized to allow easy interpretation of slope  
285 coefficients and to minimize potential issues with varying measurement scales.

286 Significant variables from univariate tests were then added into a multivariate  
287 model in the same order as the univariate model (i.e., linear habitat variable, then habitat  
288 variable\*movement rate etc). The fit of individual terms was evaluated by Type 3 chi-  
289 square tests and empirical standard error estimates (SAS Institute, 2000). From this, a base  
290 habitat model was derived, which was then used to test for the zone of influence of mine  
291 sites.

292 We entered TSP as a covariate to the base model for the Ekati/Diavik area,  
293 generated predictions of the odds ratio of habitat selection relative to TSP levels, and  
294 contrasted these results with zone of influence predictions. Data from 2003–2008 were used  
295 for this analysis under the assumption that this corresponded best to the time in which TSP  
296 levels were measured (i.e., both mines were in operation).

### 297 2.9 *Estimation of the zone of influence of mine areas*

298 To test for zone of influence, we used the base habitat model with a “zone of  
299 influence” predictor variable (symbolized as ZOI) and associated regression coefficient  
300 ( $\beta_{ZOI}$ ). We sequentially tested increasing zones of influence by allowing the zone of  
301 influence to equal the distances of present/not detected (aerial survey data) or used and  
302 random (satellite collar data) locations up to a hypothesized zone of influence distance by

303 0.5 km increments (i.e., 0.5 km, 1.0 km, etc.) after which point the zone of influence  
304 variable was set equal to the hypothesized zone of influence for further distances. For  
305 example, when a 1.5 km distance was tested, all presence or used locations beyond 1.5 km  
306 were set to 1.5 km, regardless of how far out they were. By doing this, the odds ratio of  
307 selection relative to the mine site (as estimated by distance from mine\* $\beta_{ZOI}$ ) was allowed to  
308 change linearly up to the hypothesized zone of influences at which point it would  
309 asymptote and remained constant for distances greater than the zone of influence (as  
310 estimated by ZOI\* $\beta_{ZOI}$ ) (Fig. 2). The overall fit of each sequential zone of influence  
311 distance model was assessed by its log-likelihood. If fit was improved by the  $\beta_{ZOI}$  term,  
312 then the log-likelihood should increase to an optimum at the statistically most probable  
313 zone of influence before decreasing at larger distances (Fig. 2). If there were no zone of  
314 influence, then the log-likelihood would remain constant across the range of distances. The  
315 distance at which in which the log-likelihood was maximized was, therefore, the estimate  
316 for the zone of influence (i.e., the maximum distance where an influence of the mine on  
317 caribou distribution could be detected). In addition, the relative magnitude of the difference  
318 in habitat selection caused by the mine could be estimated by the odds ratio of habitat  
319 selection at the estimated zone of influence ( $OR_{ZOI} = e^{(\beta_{ZOI} * ZOI)}$ ). The odds ratio in this case  
320 was the relative increase in habitat selection at distances further than the zone of influence  
321 relative to habitat selection within the zone of influence.

322 The relative shape of the likelihood curve assessed the strength of the zone of  
323 influence. For example, an irregular shaped likelihood curve, or a curve without a peak  
324 indicates that other spatial factors were influencing caribou selection relative to the mine  
325 (and that were not already accounted for in the base habitat model). Confidence intervals

326 for the likelihood curve were constructed from the range of zone of influence distances in  
327 which the log-likelihood was within 1.92 of the maximum likelihood zone of influence  
328 (Hudson, 1971; Hillborn and Mangel, 1997).

329 We also analyzed the effect of temporal changes in mine activity by grouping years  
330 into periods of broad mine development. To retain sample size, we combined data for  
331 1996–99 (1998–99 for aerial survey analysis), 2000–02, and 2003–08 (when Ekati and  
332 Diavik were both in operation) (Table 1). We also accounted for the expanding footprints  
333 of mines by adding the Misery pit and road to the footprint in 2000 and the Fox Pit to the  
334 footprint in 2003. We conducted a sensitivity analysis on both data sets to examine the  
335 influence of Misery road construction and operation on the zone of influence by comparing  
336 zone of influence estimates with and without Misery road for the 2000–08 time period.

337 Some studies have suggested that groups with calves (nursery groups) are more  
338 sensitive to disturbance than groups without calves (non-nursery groups) (Nellemann and  
339 Cameron, 1998; Nellemann et al., 2000; Cameron et al., 2005; Joly et al., 2006). To explore  
340 this we used the aerial survey data for Ekati and Diavik collected from 2003–08 and  
341 compared the estimated zone of influence between nursery and non-nursery groups. We  
342 assigned groups as nursery where composition was noted, and where no composition was  
343 noted, assumed all groups  $\geq 50$  caribou were nursery groups.

### 344 3 Results

#### 345 3.1 Aerial survey analysis

346 *Ekati-Diavik mine complex.*—The multivariate base habitat model overall fitted the data  
347 with a ROC score of 0.793 (See Appendix B for results on the base habitat modeling). We  
348 initially estimated a zone of influence corresponding to all of the years of data collection  
349 (1998–2008). The zone of influence model terms were significant for the pooled Ekati-

350 Diavik complex ( $Z = 8.85, P < 0.002$ ) and the overall fit of the model was adequate (ROC =  
351 0.795). The asymptote of the likelihood curve corresponded to an estimated zone of influence of  
352 14 km (CI = 12.0–15.5 km) (Fig. 3).

353 Survey design also affected zone of influence estimates as suggested by a  
354 significant interaction of design and zone of influence term ( $\chi^2 = 20.25, df = 2, P < 0.0001$ ).  
355 We set all predictions to correspond to the aerial design in which both Ekati and Diavik  
356 were simultaneously surveyed under the assumption that this was the best data set to  
357 estimate zone of influence for the pooled mine complex. We estimated odds ratios of the  
358 zone of influence effect for the Ekati-Diavik mine sites, which suggested caribou were 4.2  
359 times (SE = 1.08, CI = 3.60–4.85) more likely to select habitat at distances greater than 14  
360 km from the mine areas (Fig. 3).

361 The zone of influence predictor terms ( $\beta_{zoi}$ ) were significant (combined Ekati and  
362 Diavik) but differed among the three periods of mine development (Table 3, Fig. 4). In the  
363 initial time period (1998–99: Ekati construction) a weak zone of influence was evident at 4  
364 km. In the middle period (2000–02: Ekati operation and Diavik construction) no zone of  
365 influence was evident, as indicated by a lack of peak in the likelihood curve. In the final  
366 period when both mines were in operation (2003–08; seven pits in total), a zone of  
367 influence was evident at 14 km (CI = 13.0–15.0 km) from the mine site, which was similar  
368 to the pooled estimate (Table 3, Fig. 3).

369 Of caribou groups observed in the Ekati-Diavik area from 2003–08, 271 were  
370 nursery groups and 1,453 were non-nursery groups. We did not detect a statistically  
371 significant difference between zone of influence for nursery groups (ZOI = 12 km, CI =  
372 10.5–16.0 km) and non-nursery groups (ZOI = 14 km, CI = 12.5–15.5 km). Odds ratios

373 (OR) were also not significantly different between groups (nursery: OR = 3.32, CI = 2.08–  
374 5.31; non-nursery: OR = 5.21, CI = 4.37–6.20).

375 Removing Misery road as part of the mine area effectively increased the distance  
376 from mine area for caribou groups sighted on transects that were between the core Ekati  
377 and Diavik mine areas. As a result, zone of influence estimates during 2000–08 without  
378 Misery road (ZOI = 18 km, CI = 15.5–20.0 km) were slightly increased compared to  
379 estimates with Misery road (ZOI = 15 km, CI = 13.0–16.0 km).

380 *Snap Lake mine*.—The habitat base model for Snap Lake was significant with a  
381 good fit to the data (ROC = 0.80) (See Appendix B for results on the base habitat  
382 modeling). The pooled analyses among years suggested a weak zone of influence of 6.5 km  
383 (CI = 1–25 km) with a relatively weak odds ratio of 2.4 (CI = 1.88–3.12). Although the  
384 zone of influence term was marginally significant ( $\chi^2 = 2.57$ , df = 1,  $P = 0.085$ ), the outer  
385 confidence limits of the zone of influence almost encompassed the mine aerial survey area  
386 (31 km radius). None of the period-specific zone of influence terms were significant ( $\alpha =$   
387 0.1). Sample size was limited: Only four aerial surveys detected caribou during 2005–2008.

### 388 3.2 *Satellite collar analysis*

389 The base habitat model displayed adequate fit to the data as determined by Pearson  
390 correlation of area-adjusted frequencies and ordinal odds ratio bins ( $\rho = 0.902$ ,  $P < 0.0001$ ).  
391 (See Appendix B for results on the base habitat modeling.) This base habitat model was  
392 used for both the combined Ekati-Diavik and Snap Lake zone of influence analyses.

393 *Ekati-Diavik mine complex*.—The proportion of daily fixes for the satellite collar  
394 locations increased after 2001, which resulted in higher densities of used points during  
395 2003–08 (Fig. 5). Although the caribou satellite collar locations were fewer near mine areas

396 and then peaked from 25–50 km from the mines before decreasing at further distances,  
397 habitat influences such as lakes were affecting the distribution as well as the mine  
398 activities.

399 Analysis of zone of influence by time period suggested changes in the zones of  
400 influence over time (Fig. 6, Table 4). A zone of influence of 23 km (CI = 19-35 km.) was  
401 evident for the early period (1996–99) of the Ekati-Diavik complex development, however,  
402 the odds ratio of the zone of influence was considerably less than 1, indicating attraction to  
403 the mine areas rather than avoidance. Inspection of the raw data revealed congregations of  
404 caribou near mine areas in August–September 1996 and July–August 1999 that may have  
405 caused this trend. A zone of influence of 3 km (CI = 1-39) was evident for the middle  
406 period (2000–02), with an odds ratio of 2.26 (CI=1.32-225.7) suggesting avoidance,  
407 however, the confidence limits on the ZOI estimate were large (1–39 km). A zone of  
408 influence of 11 km (CI = 1–17 km) was evident for 2003–08 when both mines were in  
409 operation, with an odds ratio of 3.9 (CI= 1.6 - 10.1) also suggesting avoidance of the mine  
410 areas.

411 The precision of zone of influence estimates and odds ratio estimates were generally  
412 lower for satellite collar data (Table 4) than for aerial survey data (Table 3). Years 2003–08  
413 had the highest sample size of collars (Fig. 5) and may be the best representation of the  
414 current zone of influence of the Ekati-Diavik mine areas.

415 *Snap Lake mine.*—Estimation of zone of influence for the Snap Lake area was  
416 challenged by low sample sizes of collared caribou. On average, the availability radius of  
417 8.5 caribou (SD = 4.76, range 1–16,  $n = 11$  years) was within the Snap Lake mine site  
418 given that the area is on the southern fringe of caribou summer range. For all years

419 combined, the zone of influence likelihood curve suggested a zone of influence at 37 km  
420 (CI = 19–56 km); however, the odds ratio for the zone of influence was 1.4 with the  
421 confidence interval overlapping 1 (CI = 0.77–2.86), suggesting either aversion or attraction  
422 to the mine site. These results suggested that the zone of influence was not statistically  
423 different than random variation in habitat selection. Period-specific analysis for the Snap  
424 Lake mine area was not conducted because of low sample sizes.

### 425 3.3 *Dustfall and the zone of influence*

426 The CALPUFF model generates isopleths of dust deposition, which predicted that  
427 TSP declines rapidly >2 km from mine development and were indistinguishable from  
428 background deposition rates at a distance of 14–20 km from the Ekati-Diavik mine  
429 complex.

430 Using aerial survey data, the log of TSP as a covariate for the base Ekati-Diavik  
431 habitat model was a significant predictor ( $\chi^2 = 117.13$ , df = 1,  $P < 0.0001$ ) and the resulting  
432 model had a ROC score of 0.795, which suggested predictive ability. Plots of predictions  
433 suggested a steep decline in the odds ratio of caribou occurrence at relatively low levels of  
434 TSP (i.e., 100–200 kg/ha/yr) (Fig. 7). A similar analysis for the satellite collar data using  
435 only caribou locations that were within 50 km of the Ekati-Diavik mine complex indicated  
436 the log of TSP was also a significant predictor ( $\chi^2 = 13.88$ , df = 1,  $P = 0.0002$ ). This  
437 suggests that caribou will avoid areas with even low levels of TSP, which can occur at  
438 distances up to 14–20 km from mine areas.

## 439 4 Discussion

440 A large number of studies have attempted to address anthropogenic impacts on  
441 ungulates (Nelleman et al., 2003; Stankowich, 2008), but often results vary based upon  
442 methods used and scale of the sampling design. We developed an adaptable methodology

443 that should allow better comparison among studies by the fitting of the exact hypothesized  
444 zone of influence curve that is not influenced by how the data are binned, and less  
445 influenced by scale of analysis. Our method can be applied to any procedure that estimates  
446 likelihood scores. It therefore allows the estimation of a zone of influence using underlying  
447 flexible, robust, habitat modeling procedures, such as conditional logistic regression or  
448 generalized estimating equations, that account for potential sampling biases (such as  
449 autocorrelation) and other habitat and population factors that might influence distribution.  
450 However, our approach still requires that a range of distances are sampled that encompass  
451 both anthropogenic impacts as well as natural habitat variation to allow an estimate of the  
452 asymptote of the zone of influence curve. We argue that the requirement of adequate survey  
453 scale to measure both impact and non-impact is fundamental to the design of any study that  
454 is attempting to estimate anthropogenic impact.

455 Our analyses suggest that caribou respond to disturbance at a large spatial scale, and  
456 that this response can be estimated using both aerial survey and satellite collar data.  
457 Strengths of our analyses compared to other published accounts of caribou and other  
458 ungulate species being displaced by industrial development were that firstly, we used two  
459 independent data sets (aerial surveys and satellite collars) that came up with similar results.  
460 Secondly, our analyses used base habitat models that accounted for patterns in habitat  
461 selection, as we tested the goodness of fit of the base habitat model without the zone of  
462 influence variables. Thirdly, we used a mathematical technique that constrained the zone of  
463 influence curve to asymptote, such that the average distance from mine complex could be  
464 estimated. A fourth strength of our approach was that we considered collar frequency of  
465 transmission in the analysis; more frequent (daily) locations allowed a more fine-grained

466 analyses. Finally, our analysis suggests potential mechanisms for aversion to mine areas at  
467 larger distances in the form of dust (total suspended particle) deposition.

468 *4.1 The overall impact of mines on the Bathurst caribou herd*

469 The zones of influences that were detected in this study suggest that mines have a  
470 biologically significant impact on the distribution of caribou on their summer range, and the  
471 magnitude of the zone of influence is related to the relative level of activity at mine areas.  
472 A zone of influence around the Ekati-Diavik mine complex was detected based on aerial  
473 survey data, such that probability of caribou occurrence and selection of habitat were  
474 reduced close to mine development. This reduced occurrence was most evident during the  
475 operation phase of both mines (14 km, CI = 13-15, 2003–08), and less evident during initial  
476 operation of Ekati and construction of Diavik. Caribou were about four times more likely to  
477 select habitat at distances greater than 14 km from the mine complex (Table 3). A weak  
478 zone of influence of 6.5 km (CI = 1 – 25 km) was detected at the more recently constructed  
479 Snap Lake mine using the aerial survey data. Satellite collar data produced similar results;  
480 an 11 km (CI = 1-17 km.) zone of influence for the Ekati-Diavik complex, but no  
481 significant zone for Snap Lake. However, we note that Snap Lake is on the edge of typical  
482 caribou summer distribution, which reduced the sample size for the analyses.

483 Caribou habitat selection scales from fidelity to the overall summer range down to  
484 finer scales within that overall fidelity. We conducted model runs to ensure that we had not  
485 confounded the different scales of habitat selection. For example, we ran a model with  
486 satellite collars data that extended up to 100 km from the Ekati-Diavik area and found that  
487 log likelihoods initially peaked at the estimated mine zone of influence (~11 km), but then  
488 peaked again at larger distance from mine values (~70 km) with negative odds ratios  
489 suggesting selection for the larger area around the mine. In the case of larger distances, the

490 zone of influence model was estimating the core of summer range, as also indicated by the  
491 highest used point densities (Fig. 5) rather than the zone of influence of the mine area. An  
492 inherent assumption of the zone of influence model is that the base habitat model accounts  
493 for any spatial variation in habitat selection, and that the primary factor influencing habitat  
494 selection relative to mine sites is the effects of mines. Inspection of likelihood plots and  
495 associated odds ratios of  $\beta_{ZOI}$  can provide an assessment of the overall adequacy of the  
496 zone of influence model and the presence of other gradients or factors that confound zone  
497 of influence estimates.

498 The area of reduced caribou occurrence from the Ekati-Diavik mine complex is  
499 ~6.7% of the 33,000 km<sup>2</sup> core and ~4.2% of the high use area of summer range of the  
500 Bathurst herd; cumulative impacts from other sources of disturbance on the landscape  
501 (Johnson et al., 2005) could have wider implications to the ecology and health of the herd  
502 (Nellemann et al., 2000; Cameron et al., 2005; Vistnes and Nellemann, 2008). In addition,  
503 we are unable to estimate the proportion of the herd that is affected by development, and  
504 thus the population-scale costs are unknown (Wolfe et al., 2000). We suggest, however,  
505 that our results depict clear separation of the effects of development from natural variation  
506 in habitat use.

#### 507 4.2 *Aerial survey versus satellite collar data*

508 The aerial survey data provided the strongest analysis of zone of influence. However,  
509 although less influenced by larger summer range selection gradients, these surveys were  
510 constrained by the extent of survey area. Our modelling assumed that the areas surveyed  
511 encompassed both the zone influenced by the mine and areas beyond the influence of the  
512 mine to allow an estimate of the asymptote of the zone of influence curve. Even in the early

513 years of the Ekati-Diavik monitoring, and in all surveys from Snap Lake, there was  
514 reasonable coverage out from development (~22 km for Ekati-Diavik, and 31 km for Snap  
515 Lake). The aerial survey data were not corrected for sightability bias (Buckland et al.,  
516 2004), but we assumed this had little impact on the analyses, as we used presence-absence  
517 rather than absolute numbers.

518 The satellite collars provided less precise estimates of zone of influence, largely due  
519 to limited sample sizes (resulting in less data available for areas near the mine) and less  
520 frequent duty cycles for the early years of study. Thus, contrary to suggestions by Vistnes  
521 and Nellemann (2008), we propose that satellite collars may not provide for the most  
522 effective analyses of habitat use on temporal and spatial scales relative to human activity  
523 and infrastructure in open, Arctic environments. This is because sample size (number of  
524 individuals) is usually low in telemetry studies relative to the large areas covered. In  
525 contrast, aerial survey transects sample areas adjacent to mine sites uniformly, therefore  
526 providing a more consistent indication of presence and absence of caribou relative to mine  
527 areas.

528 *4.3 Limitation of analysis*

529 The spatial arrangement of the Ekati and Diavik mines and Misery road limited our  
530 ability to estimate feature-specific zones of influence. For example, the Misery road  
531 connects the main Ekati mine site and Misery pit, which is 7 km from the Diavik mine (Fig.  
532 1). The zone of influence estimates for the Ekati-Diavik mine complex effectively included  
533 the entire Misery Road. Therefore, it was difficult to determine if caribou aversion of the  
534 Misery road area was due to the road, or the overall effects of the Diavik and Ekati mine  
535 areas.

536 Infrequent aerial surveys ( $\leq 3$  per year) and low numbers of satellite-collared caribou  
537 hampered analyses of the zone of influence around the Snap Lake mine, which is a  
538 consequence of the mine being near the southern edge of late summer and early fall range  
539 for Bathurst animals (Gunn et al., 2001). We were unable to subset the Snap Lake aerial  
540 survey or satellite collar analysis to shorter time periods, for instance to 2005–08 when the  
541 mine was constructed and the beginning of operations in 2008. We suspect that an  
542 underground mine operation would have less impact on surrounding caribou distribution  
543 compared with larger open-pit operations. Golder Associates Ltd. (2008b) used polynomial  
544 techniques on the same aerial survey data to conclude a 17 km zone of influence around the  
545 Snap Lake mine; however, the confidence interval (95% CI = 6.6–42.3 km) suggested weak  
546 support from the data.

#### 547 4.4 *Comparison of results with other caribou studies*

548 Most regional studies reveal that *Rangifer* reduce their use of areas within 1–10 km  
549 of development (Murphy and Curatolo, 1987; Wolfe et al., 2000; Nellemann et al., 2001;  
550 Mahoney and Schaefer, 2002; Cameron et al., 2005; Joly et al., 2006; Weir et al., 2007;  
551 Vistnes and Nellemann, 2008). We suspect that it is the scale of our analyses that allowed  
552 us to detect a larger zone of influence than previously published responses distances.  
553 However, our study addressed the effects of large open pit mines, which would present a  
554 very different configuration of stimuli to caribou than, for example, a road or tourist lodge.  
555 The open tundra habitat likely allows caribou to respond at a greater distance, however,  
556 other studies such as at the Prudhoe Bay oilfield were also on tundra post-calving ranges  
557 (Wolfe et al., 2000; Vistnes and Nellemann, 2008).

558 Earlier analyses of the Bathurst herd using polynomial methods suggested larger  
559 zones of influence around diamond mines ( $\sim 17$ –30 km, out to 130 km; Boulanger et al.,

560 2004; Johnson et al., 2005; Golder Associates Ltd., 2008a, 2008b). One potential issue with  
561 the polynomial approach is that other habitat selection gradients, which occur beyond the  
562 zone of influence, can potentially influence the overall shape of the curve. For example,  
563 satellite collar data indicate a steep gradient of habitat use evident at distances past 50 km  
564 from mines as indicated by declining point densities (Fig. 5). A quadratic curve fit to these  
565 data would be influenced by both the gradient from mine zone of influence but also the  
566 other gradients, which would cause the peak of the curve to be shifted to the middle of the  
567 gradient. A zone of influence based on the peak of the quadratic curve would therefore be  
568 over-estimated due to the influence of the other gradient. We suspect this issue may have  
569 caused the relatively large zone of influence estimates of Johnson et al. (2005).

570 *4.5 Potential mechanistic causes for zone of influence*

571 How wildlife such as caribou respond to human activity is likely patterned as a  
572 response to predation risk (Frid and Dill, 2002), which includes the trade-offs between  
573 countering predation risk without risking other behaviors. Overall, response distances vary  
574 as the nature of the disturbances, methods to describe the responses, and environmental  
575 variables such as insect harassment or foraging conditions differ among and within studies.  
576 Some studies have suggested the greatest incremental impacts of development occur during  
577 initial construction of roads and related facilities (Nellemann and Cameron, 1998). Our  
578 analyses suggest less detectable impacts during construction and initial operation, which  
579 may be attributable to a learned behavior or accumulation of factors causing the avoidance  
580 behavior.

581 The scale at which caribou are selecting habitat relative to the imposed scale of  
582 measurement is also likely a mechanistic factor in determining the extent of influence of  
583 mines. Mayor et al. (2009) concluded that in winter, Newfoundland caribou were selecting

584 against snow conditions and for lichens at distances of up to 15 km, which the authors  
585 related to the perceptual abilities of caribou. Haskell and Ballard (2008) suggested that  
586 caribou habituate to roads on an annual basis; however, these results were based upon 1 km  
587 roadside surveys of caribou abundance. The small scale of distances considered in their  
588 study make it difficult to evaluate potential larger scale shifts in caribou distribution caused  
589 by oilfield activities.

590 Our results suggested that the zone of influence of mines was at a greater distance  
591 (14 km) than was explainable by a predation risk patterned response. A factor that fits the  
592 scale of the response is dustfall. Although dustfall has been described for its effects on  
593 vegetation (Meyers-Smith et al., 2006), little is known about the response of herbivores to  
594 dust on forage. The mines use an atmospheric transport model (CALPUFF) to predict TSP  
595 deposition rates in excess of 5000 kg/ha/yr (1360 mg/m<sup>2</sup>/day) close to mine activity in  
596 summer. Deposition rates decrease rapidly with increasing distance from mine activities,  
597 however, our analyses suggest that caribou avoid habitats with even lower levels of TSP.  
598 While caribou distribution around the immediate mine area may also be affected by sensory  
599 disturbance, we suggest that the larger zones of influence for caribou (i.e., 14 km) does  
600 correlate with the predicted geographic scale of dustfall.

#### 601 4.6 *Conclusions*

602 Our results suggest a quantifiable zone of influence from diamond mines on caribou  
603 distribution that may be related to both behavioral disturbance and possibly the effect of  
604 dustfall on vegetation. These results suggest that researchers studying impacts of  
605 anthropologic development on caribou and other wildlife species should consider a larger  
606 range of scales than those caused by immediate behavioral responses to noise or other  
607 smaller-scale disturbances. In addition, alternative larger-scale impacts, such as dust

608 deposition on forage, should be considered in addition to behavioral responses that have  
609 been the main focus of past ungulate studies (Stankowich 2008).

610 The methods developed in this manuscript can be further applied to explore the  
611 effects of anthropogenic disturbance on other wildlife species by allowing a robust estimate  
612 of displacement while accounting for variation in habitat selection and scale effects. We  
613 suggest that this standardized robust approach for assessment of anthropogenic impact will  
614 allow further development of monitoring and mitigation measures to manage the impact of  
615 mines and other developments on wildlife species.

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740

741 TABLE 1. Time line of development of three diamond mines in the Canadian Arctic  
 742 between 1996 and 2008.

Mine site	Footprint in 2008 (km <sup>2</sup> )	Baseline	Pre-construction	Construction	Operation
Ekati <sup>†</sup>	20.6	—	—	1996–98	1998–2008
Diavik	9.7	—	1996–99	2000–02	2003–08
Snap Lake	1.4	1996–98	1999–2004	2005–07	2008

743 <sup>†</sup> Within the Ekati mine development, the Misery Road was constructed starting in 2000, with work  
 744 on the Misery Pit starting in 2001. The Fox Pit, a large pit 6 km south of the main Ekati mine site  
 745 began development in 2003.

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751 TABLE 2. Number of aerial surveys where caribou were observed in >1 cell, and the  
 752 number of collared caribou used for analysis. Satellite collar data include only caribou that  
 753 had a mine area within their availability radius at least once in a given year.

Year	Aerial surveys			No. of collared caribou all mines
	Ekati	Diavik	Snap Lake	
1996				9
1997				7
1998	17			-†
1999	18		3	14
2000	12		2	13
2001	11		3	9
2002	8	8	3	11
2003	9	9	2	10
2004	9 combined		2	4
2005	10 combined		2	18
2006	10	8	0	14
2007	9	10	2	19
2008	10	10	0	10

754 † Satellite collars in 1998 provided sporadic and unreliable data, and were removed from analysis.

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757 TABLE 3. Zone of influence estimates for Ekati-Diavik mine areas as a function of time  
 758 period from aerial survey data. The zone of influence estimate (ZOI), relative precision (%  
 759 CI width), significance of zone of influence model term ( $\beta_{ZOI}$ ), goodness of fit (GOF; ROC  
 760 score), and the magnitude of zone of influence effect as described by the odds ratio (OR $_{ZOI}$ )  
 761 are given.

Period	ZOI (km)	Significance								
		CI	%CI width	of $\beta_{ZOI}$		GOF		OR $_{ZOI}$		
				Z	P	ROC	Est.	SE	CI	
1998–99	4	3.0–7.0	100.0	9.12	<0.001	0.786	5.80	1.09	4.92–6.84	
2000–02	- <sup>†</sup>									
2003–08	14	13.0–15.0	14.3	-9.91	<0.001	0.786	9.90	1.08	8.53–11.48	
Pooled	14	12.0–15.5	25.0	10.94	<0.001	0.795	4.18	1.08	3.60–4.85	

762 <sup>†</sup>No peak in the likelihood curve was observed making estimation of zone of influence not  
 763 possible.

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768 TABLE 4. Summary of zone of influence estimates for the Ekati-Diavik mine complex  
 769 based on used/random analyses of satellite collar data. The zone influence estimate, relative  
 770 precision (% CI width), significance of zone of influence model term( $\chi^2$ ), goodness of fit  
 771 ( $\rho$ ) and the magnitude of zone of influence effect as described by the odds ratio are given.

Period	ZOI	CI	CV-CI	Significance of		GOF		Odds ratios		
				$\chi^2$	$P$	$\rho$	$P$	Est.	SE	CI
	(Km)		(%)							
1996–99	23	19–35	69.6	18.30	<0.001	0.93	0.0007	0.09	0.02	0.07–0.22
2000–02	3	1–39	1266.7	0.07	0.80	0.97	<0.0001	2.26	0.07	1.32–225.7
2003–08	11	1–17	145.5	18.27	<0.001	0.94	0.0003	3.85	1.46	1.64–10.13
Pooled	3	1.5–12	350.0	2.48	0.1148	0.95	0.0002	26.2	3.92	1.2–420.2

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775 **Figure legends**

776 FIG. 1. Location of the Ekati, Diavik, and Snap Lake diamond mines in the  
 777 Canadian Arctic. The larger polygon represents the area of high use (70% kernel) of the  
 778 distribution of collared caribou, 15 July–15 October, 1996–2008. The largest extent of the  
 779 aerial survey study areas is also shown around each mine. Treeline represents the northern  
 780 extent of continuous forests.

781 FIG. 2. The model used to estimate the zone of influence and the magnitude of the  
 782 zone of influence. If a zone of influence exists (the grey area), habitat selection (as reflected  
 783 by odds ratio of selection compared to the immediate mine area) should increase until the  
 784 distance where the mine has no influence on selection. At this point, the model should best  
 785 fit the data as indicated by the highest log-likelihood value. The slope of the increase in  
 786 odds ratio is estimated by  $\beta_{ZOI}$ . At distances beyond the zone of influence, the zone of  
 787 influence predictor variable was set constant (i.e., all distances beyond 10 km were set to 10  
 788 km), therefore creating an asymptote in the zone of influence curve.

789 FIG. 3. Predicted change in odds ratio (solid line with confidence limits as grey  
 790 lines) and likelihood curve (dashed line) as a function of distance from the pooled Ekati-  
 791 Diavik mine complex as determined from aerial survey data (1998–2008). Estimates are  
 792 modelled upon the aerial survey design that flew both Ekati and Diavik mine sites in the  
 793 same survey (2004–05).

794 FIG. 4. Likelihood curves as a function of time periods for the Ekati/Diavik pooled  
 795 mine complex analysis, 1998–2008).

796 FIG. 5. Used satellite collar point densities for the Ekati-Diavik mine complex by  
 797 period. The number of collared caribou was different for each time period, therefore each

798 curve should be interpreted in terms of relative distribution rather than actual densities of  
799 caribou near mines.

800 FIG. 6. Likelihood curves based on satellite collar analysis for time periods of the  
801 Ekati-Diavik mine complex.

802 FIG. 7. Predicted odds ratio of caribou occurrence as a function of TSP level for the  
803 Ekati-Diavik mine complex area from aerial survey data (a) and satellite collar data (b).

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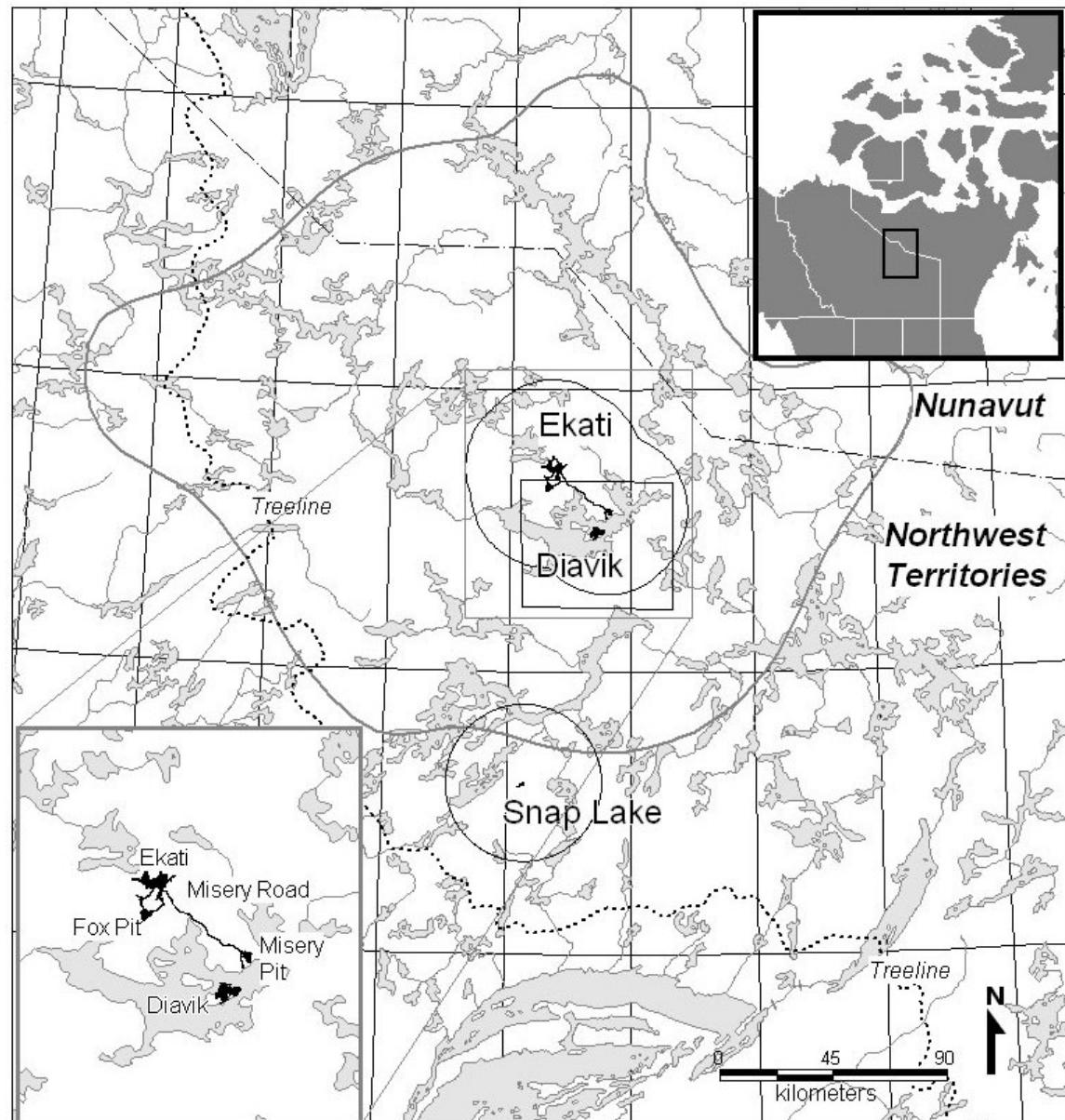
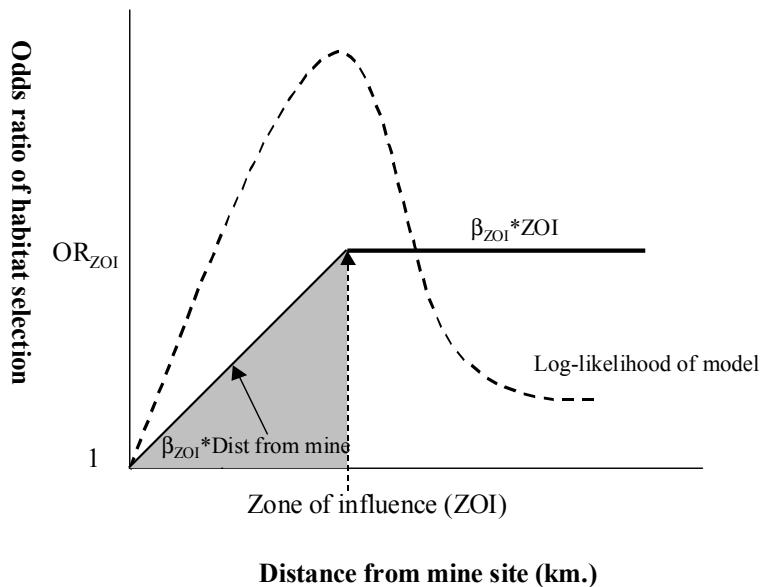


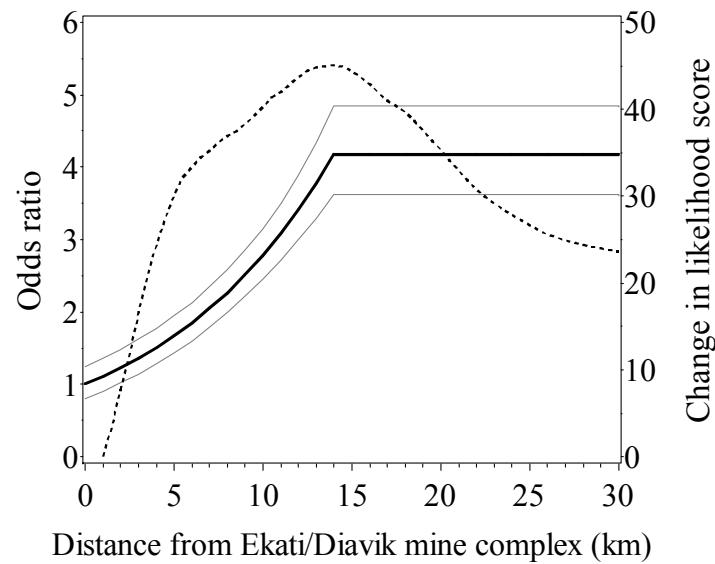
Figure 1

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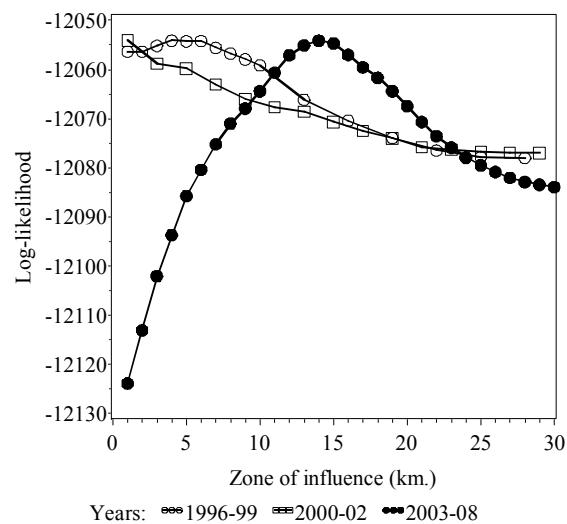
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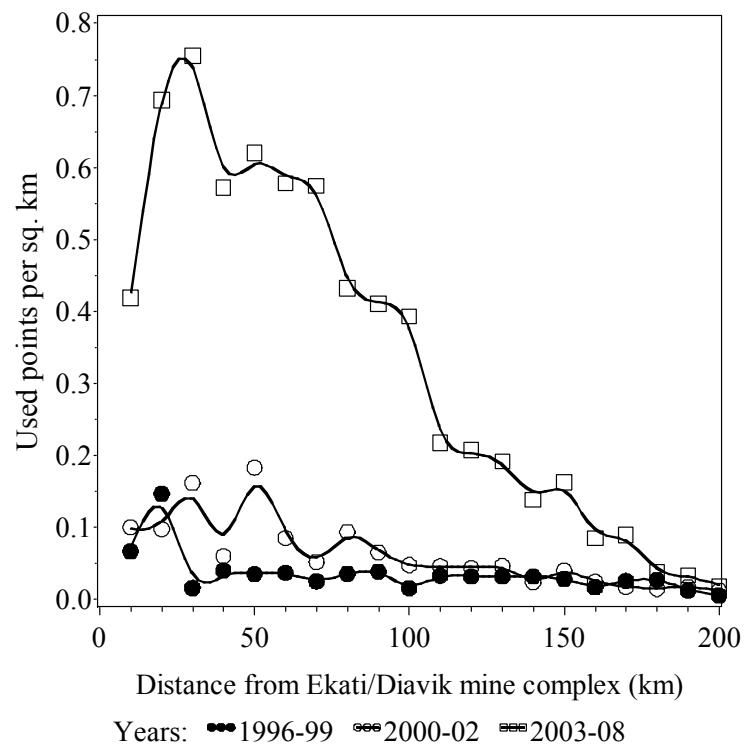
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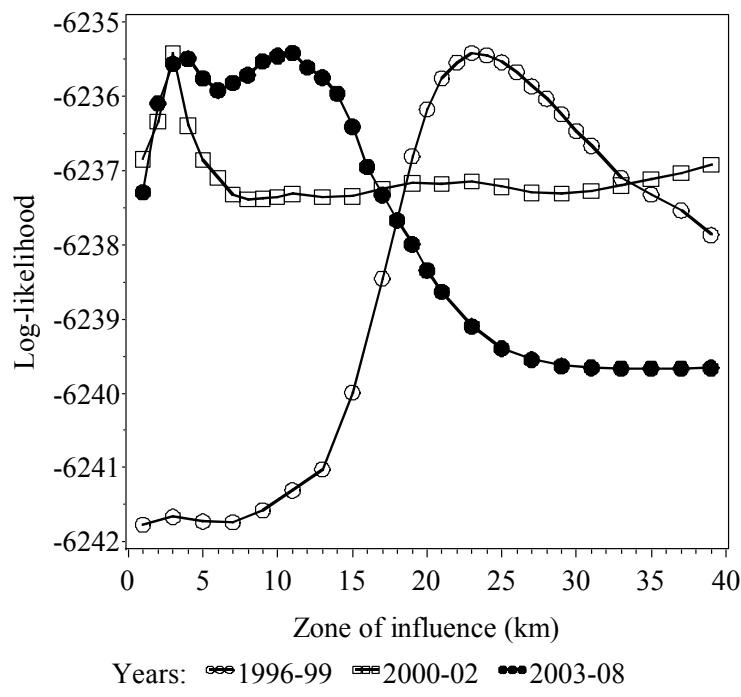
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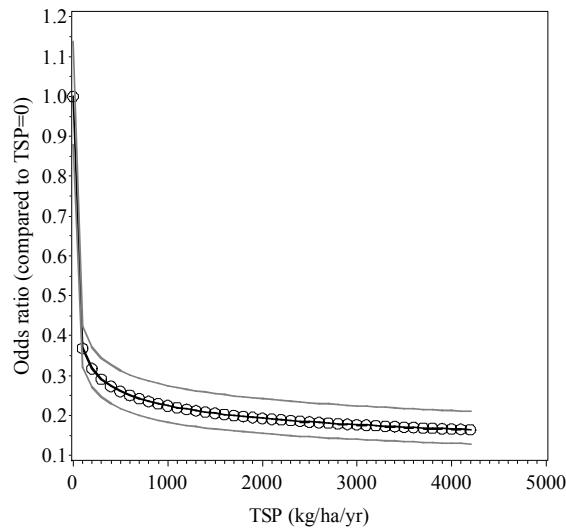


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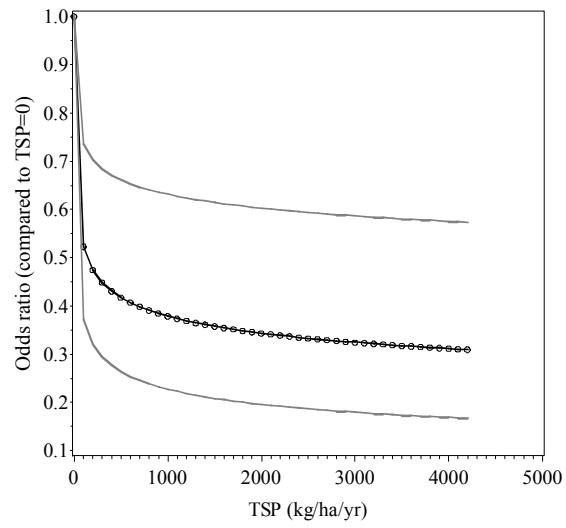
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a) Aerial survey data



b) Satellite collar data



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882 Figure 7

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883 Appendix A

884 **Habitat classification used in the analysis of zone of influence**

885 We condensed habitat categories by blending two sources to provide complete  
886 coverage of the study areas, based on similarities in descriptions, low frequency of some  
887 types, and logical assumptions about caribou biology (Table A-1). We pooled habitat  
888 classes using the Land Cover Map of Northern Canada (NLC; Olthof et al. 2008), and Earth  
889 Observation for Sustainable Development of Forests (EOSD;  
890 <http://cfs.nrcan.gc.ca/subsite/eosd/mapping>) land cover classification. The NLC  
891 classification coverage was generally north of treeline, and was given precedence where  
892 coverage from both products overlapped. Esker coverage was obtained from 1:250,000  
893 scale National Topographic Data Base maps (Natural Resources Canada;  
894 <http://geogratis.cgdi.gc.ca/geogratis/en/product/search.do?id=8147>).

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896 TABLE A-1. Habitat associations used in base habitat models (Appendix B).

Pooled habitat associations	Acronym	Description
Bedrock-boulder	Bedbould	Exposed bedrock or boulders, barren, or sparsely vegetated
Moss-lichen	Mosslichen	Bryophytes or lichen
Tundra	Tundra	Non-tussock graminoids, prostrate dwarf shrubs
Tussock	Tussock	Tussock graminoid tundra
Sedge wetland	Sedgewet	Wet sedge and wetlands
Low shrub	Lowshrub	Low shrub (<40cm; >25% cover)
Tall shrub	Tallshrub	Tall shrub (>40cm; >25% cover)
Treeline herb	Treeherb	Wetland herb near forests
Forest	Forest	Conifer, broadleaf and mixed forests of all crown closures
Esker	Esker	Esker features from NTDB
Water	Water	Lakes, rivers, streams
Other	Other	

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898 **5 Appendix B**

899 Results of base habitat models using aerial survey and satellite collar

900 *5.1 Aerial surveys*

901 *Ekati and Diavik*.—Univariate tests revealed linear relationships between caribou  
902 distribution and relative occupancy, esker, sedge wetland, and water predictor variables  
903 (Table B-1). Quadratic relationships were suggested between low shrub, tundra and water  
904 predictor variables. In addition, an interaction between tundra and NDVI suggested a  
905 positive seasonal influence of the use of tundra.

906 *Snap Lake*.—A unique base habitat model was developed for the Snap mine site  
907 given its location on the southern end of the summer range. The base habitat model for  
908 Snap suggested linear relationships with relative occupancy, bedrock-boulder, forest, moss-  
909 lichen, and tall shrub habitat classes (Table B-2). Quadratic relationships were suggested  
910 with water and forest habitat categories. In addition, seasonal use of water, bedrock-  
911 boulder, and tall shrub categories was suggested. The overall ROC score of the model was  
912 0.80 suggesting adequate fit to the data.

913 *5.2 Satellite collars*

914 The base habitat model displayed adequate fit to the data as determined by Pearson  
915 correlation of area-adjusted frequencies and ordinal odds ratio bins ( $\rho = 0.902, P < 0.0001$ ).  
916 The base habitat model analysis revealed linear or quadratic selection of forest, tall shrub,  
917 tundra, and water habitat variables (Table B-3). Seasonal selection was evident for bedrock-  
918 boulder, low shrub, treeline herb, tundra, and forest (interaction with NDVI) habitat

919 categories. The selection of forest treeline herb and low shrub was also dependent on scale  
920 as determined by the availability buffer width and corresponding fix interval.

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922 TABLE B-1. Base habitat model for aerial survey analysis for the Ekati and Diavik mine  
 923 area aerial surveys. Standardized slope estimates are given for habitat variables (Appendix  
 924 A).

Parameter	Estimate	Std Err	CI	$\chi^2$	P
Intercept	-3.33	0.04	-3.40– -3.26	8737.26	<0.0001
Esker	0.04	0.02	0.01–0.07	5.52	0.0188
Reloccupancy	0.58	0.01	0.56–0.61	2656.08	<0.0001
Lowshrub <sup>2</sup>	-0.06	0.03	-0.11– -0.01	6.28	0.0122
Sedgewet	0.15	0.04	0.08–0.23	15.71	<0.0001
Tundra <sup>2</sup>	-0.10	0.02	-0.14– -0.06	28.18	<0.0001
Tundra*NDVI	0.49	0.25	0.00–0.97	3.87	0.0492
Water	-0.14	0.08	-0.29–0.02	2.97	0.0848
Water <sup>2</sup>	-0.23	0.05	-0.32– -0.14	25.70	<0.0001

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926 TABLE B-2. Base habitat model for aerial survey analysis for the Snap Lake mine aerial  
 927 surveys. Standardized slope estimates and empirical standard errors are given for habitat  
 928 variables.

Parameter	Group	Estimate	Std Err	CI	Z	P
Intercept		-4.812	1.072	-6.912– -2.712	-4.490	<0.0001
Reloccupancy		0.880	0.064	0.754–1.006	13.700	<0.0001
Bedbould		0.185	0.113	-0.037–0.407	1.630	0.102
Forest		0.434	0.129	0.182–0.686	3.370	0.001
Forest <sup>2</sup>		-0.059	0.037	-0.132–0.013	-1.600	0.109
Mosslich		0.333	0.150	0.039–0.627	2.220	0.026
Tallshrub		0.131	0.084	-0.035–0.296	1.550	0.122
Water <sup>2</sup>		-0.182	0.066	-0.310– -0.053	-2.760	0.006
Water*ndvi		0.561	0.524	-0.466–1.587	1.070	0.285
Bedbould*seasFall		-0.594	0.153	-0.893– -0.294	-3.880	<0.0001
Tallshrub*seasFall		0.225	0.096	0.037–0.413	2.340	0.019

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932 TABLE B-3. Base conditional logistic regression habitat model used to estimate zone of  
 933 influence from satellite collar data for the Snap Lake and combined Ekati-Diavik mine  
 934 sites.

Parameter	Group	Estimate	Std. Err.	$\chi^2$	P
bedbould*season	Fall Migration	-0.222	0.050	19.836	<0.0001
	Rut/Late fall	-0.137	0.072	3.549	0.0596
	Early Summer	-0.489	0.301	2.641	0.1041
Forest		0.948	0.132	51.665	<0.0001
Forest <sup>2</sup>		-0.146	0.022	44.379	<0.0001
Forest*scale	1	0.044	0.094	0.217	0.6413
	5	-0.203	0.080	6.474	0.0109
Forest*NDVI		-1.032	0.178	33.476	<0.0001
Forest*movement rate		-0.016	0.004	13.657	0.0002
Lowshrub*scale		-0.039	0.011	11.723	0.0006
Lowshrub*season	Fall Migration	0.075	0.070	1.147	0.2842
	Rut/Late fall	0.158	0.091	2.988	0.0839
	Early Summer	0.148	0.096	2.382	0.1227
Tallshrub		-0.061	0.024	6.241	0.0125
Treeherb*scale	1	0.021	0.033	0.410	0.5222
	5	-0.141	0.055	6.627	0.01
Treeherb*Summer/Fall	Fall	0.137	0.051	7.213	0.0072
Tundra		-0.043	0.054	0.641	0.4233
Tundra*rate		-0.011	0.004	9.811	0.0017
Tundra*season	Fall Migration	-0.102	0.068	2.281	0.1309
	Rut/Late fall	-0.139	0.144	0.926	0.336
	Early Summer	-0.380	0.131	8.447	0.0037
Water		-0.649	0.034	365.589	<0.0001

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